UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

SCANSOFT, INC.,)
Plaintiff,)
v.) C.A. No. 04-10353-PBS
VOICE SIGNAL TECHNOLOGIES, INC., LAURENCE S. GILLICK, ROBERT S. ROTH, JONATHAN P. YAMRON, and MANFRED G. GRABHERR,))))
Defendants.)))

DECLARATION OF WENDY S. PLOTKIN

Wendy S. Plotkin deposes and states as follows:

- I am an attorney in the law firm of Choate, Hall & Stewart, and a member of the 1. bar of the Supreme Judicial Court of the Commonwealth of Massachusetts and the United States District Court for the District of Massachusetts. I am counsel to the above-named defendants and make this declaration in support of the Voice Signal Technologies' Memorandum in Opposition to Nuance's Motion to Compel Defendant Voice Signal Technologies, Inc. to Create a Complete Screening Wall Between This Action and the Action Recently Filed by Defendant in the Western District of Pennsylvania
- 2. Attached hereto as Exhibit A is a true and correct copy the transcript of the May 5, 2006 hearing before this Court.

* * *

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct to the best of my knowledge and belief.

/s/ Wendy S. Plotkin

Dated: December 20, 2006

Case 1:04-cv-10353-PBS Document 433-2 Filed 12/20/2006 Page 3 of 30

Exhibit A

	Page 1
1	IN THE UNITED STATES DISTRICT COURT
	FOR THE DISTRICT OF MASSACHUSETTS
2	
3	SCANSOFT, INC.,
)
4	Plaintiff)
)
5	-VS-) CA No. 04-10353-PBS
) Pages 1 - 26
6	VOICE SIGNAL TECHNOLOGIES, INC., et al,)
7	Defendants)
8	
9	MOTION HEARING
10	BEFORE THE HONORABLE PATTI B. SARIS
	UNITED STATES DISTRICT JUDGE
11	
12	
	APPEARANCES:
13	
	LISA M. FLEMING, ESQ. and ERIK PAUL BELT, ESQ.,
14	Bromberg & Sunstein, 125 Summer Street, Boston,
	Massachusetts, 02110-1618, for the Plaintiff.
15	
	SARAH CHAPIN COLUMBIA, ESQ. and ROBERT S. FRANK, JR.,
16	ESQ., Choate, Hall & Stewart, 53 State Street, Boston,
	Massachusetts, 02109, for the Defendants.
17	
18	
1.0	United States District Court
19	1 Courthouse Way, Courtroom 19
	Boston, Massachusetts
20	May 5, 2006, 10:00 a.m.
21	
22	тыы а мариттт
23	LEE A. MARZILLI
∠ 3	CERTIFIED REALTIME REPORTER United States District Court
24	
²⁴	1 Courthouse Way, Room 3205
25	Boston, MA 02210
45	(617)345-6787

- 1 PROCEEDINGS
- THE CLERK: The case of ScanSoft, Incorporated V.
- 3 Voice Signal Technologies, et al, Civil Action No. 04-10353,
- 4 will now be heard before this Court. Will counsel please
- 5 identify themselves for the record.
- 6 MR. BELT: Thank you. Good morning, your Honor.
- 7 Eric Belt, and we have Lisa Fleming, Bromberg & Sunstein, for
- 8 the plaintiff ScanSoft.
- 9 MS. COLUMBIA: Good morning, your Honor. Sarah
- 10 Columbia and Bob Frank for Voice Signal.
- 11 THE COURT: Now, as I understand it, the expert is
- 12 coming Monday?
- MS. FLEMING: That's correct, your Honor.
- 14 THE COURT: Which is what's creating the crisis
- 15 here and the escalating piles of paper that I have been
- 16 receiving. As I understand it, he would like to speak with
- 17 two independent experts. I read the excerpt. If there's a
- 18 question about that, I should talk to him.
- 19 I view there as being two questions. One is
- 20 whether he gets to speak to an independent expert, and the
- 21 second is what that expert gets to see. I have focused on
- 22 part one, which is that he gets to speak to an independent
- 23 expert designated by both sides because he's asked for it.
- 24 So that would be a modification of what I did because he's
- 25 asked for it.

Page 3 Part two, which I did not intend to do, is expand 1 it so that the independent expert gets to look at the entire 2 3 source code for Voice Signal. So that is why I'm here today, 4 to talk about the ramifications of allowing the expert to talk to -- by which, I mean Dr. Ney -- to talk to one expert from each side because apparently he feels that that would 6 facilitate his decision-making. That doesn't mean that the experts get to see the other side's source code, okay. 8 9 So, now, to the extent that some of it comes up in passing in the way he articulates the question, that may be 10 an unfortunate by-product, and in that sense, I am opening it 11 12 up, but not that the independent expert gets to look at the 13 complete other side's source code. 14 Now, does this clarification help things? 15 MS. COLUMBIA: Yes, your Honor. 16 MS. FLEMING: If I may, your Honor, we do not believe that it does help things. First, with respect to 17 whether independent experts should be part of the neutral 18 expert procedure, there's no dispute about that. Both sides 19 20 have said on the record that independent experts should participate. 21 22 THE COURT: Right, that's all I thought I was 23 doing. 24 MS. FLEMING: The motion that we filed, your Honor, 25 that you did allow was to have our independent expert,

- 1 Richard Goldhor, to have access to the Voice Signal source
- 2 code. And let me explain why --
- 3 THE COURT: Well, all I meant was access in the
- 4 sense that if he asks a question about it, the expert can
- 5 answer; not that he gets to sit and read the whole thing.
- 6 MS. FLEMING: If the neutral expert asks the
- 7 independent expert a question about Voice Signal
- 8 Technologies' source code, the independent expert is not in a
- 9 position today to answer that question.
- 10 THE COURT: Well, that may be, that may be. And it
- 11 may be that we're going to have to carefully craft a way for
- 12 Dr. Ney to use the independent expertise from both sides.
- 13 That may happen. That's why I allowed it. And to the extent
- 14 that he asks a question which gives a window on the voice
- 15 code, that may be inevitable, but that doesn't mean -- the
- 16 reason I did this whole procedure was not to give both side's
- 17 experts full, whole-hog exposure to the source code. If some
- 18 comes up, it does, and I'm going to have to trust Dr. Ney on
- 19 that. Am I pronouncing that correctly?
- 20 MS. COLUMBIA: I think it's Ney, your Honor.
- THE COURT: Ney? I have to write that down. All
- 22 right, am I talking to him on the 11th, by the way? Is that
- 23 the theory? Because they never called back and confirmed, so
- 24 I --
- MS. FLEMING: The reason we didn't call back is,

- 1 Dr. Ney has been in transit since we got the call saying you
- were available on the 12th of May, so he has not been in
- 3 contact with us.
- 4 THE COURT: Do I write it in the calendar or not?
- 5 That becomes a critical question for me.
- 6 MS. COLUMBIA: Yes, your Honor. I think he had
- 7 asked to speak with you, and we'll confirm his availability,
- 8 but I think your availability soon after the May 8 meeting
- 9 would be very useful to talk with him.
- 10 THE COURT: Someone needs to get back to my
- 11 secretary, who sort of went through panic mode when I told
- 12 her, "Oh, they're all talking about this date," and she said,
- 13 "No one's confirmed it with me." So you really need to do
- 14 that, or I lose the spot. It's not on the calendar, which is
- 15 a very dangerous place for you to be.
- 16 MS. FLEMING: We'll give her a call and confirm
- 17 that it's on your calendar.
- 18 THE COURT: Or at least have my secretary
- 19 tentatively write it in so it's reserved for you.
- 20 MS. FLEMING: Your Honor, may I address the issue
- 21 that you raised with respect to --
- THE COURT: Yes. I mean, that's why we did this
- 23 whole thing.
- MS. FLEMING: Well, your Honor, I agree, back then
- 25 that was why we did it, but things have changed. And the

Page 6 reason that they've changed is, this neutral expert procedure 1 we've been working under since the order in December has gone 2 as far as it can go. It's run its course. We have designated counsel here for ScanSoft. He is prepared to tell 4 you, your Honor, that he's taken this as far as he can go. I understand that. You know, attorneys 6 THE COURT: aren't trained this way. I mean, they have limited training. I understand. That's why I'm willing to modify it 8 9 and allow some independent expert to answer questions. MS. FLEMING: But he can't answer the questions 10 Dr. Ney wants unless the independent expert has access to 11 12 their code. Can I just explain? The reason for that is, 13 Professor Ney has said on the record that he's uncomfortable 14 with the amount of source code he has to look at. 15 THE COURT: When I hear from him, maybe I'll modify At this point I'm not willing to turn the whole order on 16 its head. I thought what I was doing was allowing 17 independent experts to familiarize themselves with the basic 18 claims here, and to specify in better detail than counsel was 19 20 able to do -- I understand that -- what the claims were, the alleged trade secrets, the things that you're worried about, 21 22 and maybe answer questions that Dr. Ney might have: Well, 23 what about this? Would you consider that to be X?

ask certain questions coming from the code. If he does, he

making it up because I -- and it may be that he's going to

```
Page 7
           And in that sense, your guy will have -- I assume it's
 1
     a guy, right?
 2
 3
               MS. FLEMING: Yes.
               THE COURT: -- will have access to the source
            I don't want that to be viewed as any kind of
 5
     violation, and that's why I allowed it. But I had not
 6
     understood until I read their opposition that you want him to
     sit down and read the entire source code.
 8
 9
               MS. FLEMING: Well, you are correct, your Honor,
     that our independent expert will be able to answer all of
10
     Dr. Ney's questions on our own source code. That's fair and
11
12
     that's true, and that should happen. What Voice Signal has
13
     asked by asking you to reconsider your order and to have
14
     ScanSoft not have access to their source code is, there's no
     ability in this case --
15
16
               THE COURT: You know, can I say one thing?
     guys flip me motions and then tell me the meeting is May 8.
17
     It looks like you just both want your respective experts to
18
     be able to go in there and have a meeting with Dr. Ney, which
19
20
     seems perfectly reasonable to me. When you slip in there
     suddenly -- wow, I mean, I'm going through a pile like this
21
22
     every day you slip in there -- suddenly it's turning the
23
     whole order on its head. You know, that's not what I
24
     intended, and that's why I'm holding the hearing here today.
25
               MS. FLEMING: Your Honor, what I'm concerned about
```

```
Page 8
     is that Monday's --
 1
 2
               THE COURT: I'm not doing it, I'm not doing it.
                                                                 Ιf
 3
     I talk to Dr. Ney on the 12th and I decide to change my mind,
 4
     maybe I'll be here. But for the meeting on the 8th, they
     should be there to answer questions about their own source
     code and about the claims that you're making with respect to
     the other quy's source code. And then if he wants to ask
     questions, I leave it up to him. Okay, that's where we're
 8
 9
          If I decide after talking to Dr. Ney that he can't do it
     that way, that there's a big gap, maybe I will have to
10
     rethink the whole thing, but this is taking way too long.
11
               Both sides have been a little bit involved here in
12
13
     nitpicking each other to death and not trying to work things
14
     through. Maybe there are a ton of things that are being
     worked through that I don't see, and maybe I'm being unfair
15
16
     here, but I think at this point I am not going to let your
     expert read the entire source code for the other side. And I
17
     add to that -- let me just say, are your people here from
18
     Nuance or ScanSoft?
19
20
               MS. FLEMING: No, your Honor.
21
               THE COURT: I was horrified by that press release.
22
     Were you involved with that?
23
               MS. FLEMING: We knew that the press release
24
     issued.
25
               THE COURT: Well, how can you -- you're using this
```

Page 9 litigation for business purposes. That really is not 1 2 kosher. 3 MS. FLEMING: Your Honor, if I may, both sides --THE COURT: Both sides should not do it. I am now issuing a direct order that no one can use anything about 5 this case and this procedure to gain a business advantage by 6 going to the press that way. I mean, the way it looked was -- maybe from an outsider, but I'm into circumstantial 8 9 evidence -- you put that in there, then you send Lehman Brothers, and then you launch a suit in Texas. That's what 10 it looks like, that I'm being used as a pawn in business 11 12 matters. Now, maybe they're doing the same thing and you 13 haven't filed it. I'm saying what I've seen that you've 14 done, and that's extremely troubling to me. 15 MS. FLEMING: Your Honor, if I may, the business 16 issues are completely separate from this litigation. distinct disadvantage in this case is that there's been no 17 discovery in the case; and every time we get closer to 18 resolving this on the merits, Voice Signal runs into court 19 20 and asks you to put a halt to it. 21 THE COURT: No, I'm not putting a halt to it. 22 is moving forward. The independent experts are going to go 23 to him and do whatever Dr. Ney wants them to do. And if 24 Dr. Ney feels that there's a gap and he thinks that what I've

asked him to do is not doable, then I will address that.

Page 10 MS. FLEMING: Okay, I just respectfully suggest 1 that the meeting on May 8 will not go as far as it could, 2 3 your Honor, if we could have the ability to have access to 4 discovery. THE COURT: It may be. Now, what about this Texas 5 Is that just the flip side of what we're doing here? 6 What's going on there? Who brought that suit? Is that your firm? 8 9 MR. BELT: No, your Honor. THE COURT: Who's the law firm involved in that? 10 MR. BELT: O'Melveny & Myers in Los Angeles. 11 12 THE COURT: And have you given them access to 13 anything we've done here? 14 MR. BELT: No. 15 THE COURT: I want a complete Chinese wall, complete, and I want you to give me an affidavit saying 16 there's a complete Chinese wall. I want nothing that's been 17 learned in this suit to go to that, nothing. 18 Now, I think it's nuts, and I don't know -- who's 19 20 the judge in the Eastern District? 21 MS. COLUMBIA: Judge Folsom. 22 THE COURT: I think it is forum shopping. I think 23 it has nothing to do about Massachusetts. It's just the 24 juries tend to return great verdicts in the Eastern District,

and they have good judges that know the law. I don't know

- 1 that it's hiding. It's well known. Everyone is flocking to
- 2 the Eastern District of Texas because, A, the judges know the
- 3 law, and, B, the juries are very generous.
- 4 (Discussion off the record.)
- 5 MS. COLUMBIA: I was just saying, your Honor, we
- 6 have filed a motion under 1404(a) in the Texas action asking
- 7 Judge Folsom to transfer venue to this court.
- 8 THE COURT: Fine, and maybe he will and maybe he
- 9 won't. I'm not going to enjoin him. That's what the judges
- 10 do. I'm not going to enjoin the prosecution of a suit down
- 11 there. This happens. It's actually common, not uncommon.
- 12 So I understand. And I am very familiar with the facts and
- 13 circumstances of this case, and I am concerned about it. But
- 14 at the very least, the following three things I'm ordering:
- 15 I'm denying the motion to enjoin the Texas suit, but I am
- 16 more than willing to take the suit if the judge down there
- 17 wants to transfer it up here. But I do not make any finding
- 18 that it's for a nefarious purpose of trying to funnel
- 19 information from this suit down to the Texas suit. I don't
- 20 have a basis for that, and I'm not going to do that right
- 21 now. I think it's what you hear in these patent conferences,
- 22 that it's a hot patent court, okay.
- Two, I am giving a direct order that neither side
- 24 shall go to the press and comment on this litigation. I was
- 25 deeply troubled by what happened in that press release. And

- 1 you may be right, there was one from the other side. I don't
- 2 want this being used to depress other -- you're making a
- 3 representation to me that Voice Signal issued a press release
- 4 regarding some of my procedural orders here?
- 5 MR. BELT: There was a press release the next day
- 6 from Voice Signal on Saturday in the Boston Globe.
- 7 MS. COLUMBIA: I'm unaware of it, your Honor. I
- 8 don't doubt Mr. Belt.
- 9 THE COURT: All right, I want you both to
- 10 communicate this to your clients, and I give you a direct
- order that neither side shall comment to the press about the
- 12 proceedings in this -- I don't want to make it too broad.
- 13 I'm talking only about the back-and-forth involving this
- 14 expert neutral procedure. I mean, I'm not saying you can't
- issue a press release if you start a new suit or something.
- 16 I'm talking about, I don't want this procedure being used for
- 17 business and competitive advantage, and it's just wrong.
- Second, there will be a complete and absolute
- 19 Chinese wall between your law firm and O'Melveny. I don't
- 20 want lawyers talking about the case together, and I don't
- 21 want any information from this suit going to that suit.
- Now, if in fact it all comes up here, I'm not
- 23 against -- if I'm in control of what's happening so that I
- 24 think that it's just a question of lessening the expense of
- 25 discovery, I'm going to probably lift that Chinese wall. But

- 1 I can't be sure about what's happening, and they've made
- 2 certain allegations, and I want to make sure -- while I'm
- 3 sure counsel is playing aboveboard, I was really troubled by
- 4 the sequence of events of a press release and then an
- 5 immediate visit by Lehman Brothers and then a lawsuit in
- 6 Texas. That's troubling.
- 7 MR. BELT: I will just say, your Honor, the lawsuit
- 8 in Texas is different patents, and it's not the trade secrets
- 9 here, and it's not the '966 patent.
- 10 THE COURT: I wouldn't know. I am simply saying,
- 11 that sequence of what happened in March is really a problem
- 12 for me because it makes me think that you're using me as a
- 13 pawn for business advantage. I wasn't born yesterday. I
- 14 know this happens.
- MR. BELT: Yes, I hear what you're saying, your
- 16 Honor. I just want to also make sure that the record
- 17 understands that I would disagree with that. We're certainly
- 18 not doing that, and this is really a legitimate case to
- 19 protect our intellectual property.
- 20 THE COURT: Oh, it may be. I've never said this
- 21 case. My instant case in front of me may well be. I don't
- 22 know. That's why I have this expert procedure. It's been
- 23 elaborate, it's been expensive, it's been time-consuming, and
- 24 I want it to be over. So I would prefer if you conferred and
- 25 tried to work things out.

- 1 Why couldn't you both just agree on each other's
- 2 independent experts? Why did it have to come to me? And
- 3 then you could have narrowly defined the issue and focused
- 4 it. You're moving for me to reconsider my order that they
- 5 get full access to the source code. You all raise a big
- 6 emergency, he's coming in May 8, which is why I didn't wait
- 7 14 days, which would have been nuts because it was after
- 8 May 8.
- 9 MR. BELT: Your Honor, well, first of all, the
- 10 timing of our motion was because we got an E-mail from
- 11 Dr. Ney saying, "I'd like independent experts there." And as
- 12 far as independent experts go, we do agree to their
- independent expert, Dr. Wooters; he can be there. What we
- don't agree to is for VST to bring its fact witnesses, which
- 15 are the employees of VST.
- 16 THE COURT: I'm giving you one independent expert
- 17 apiece who will sign onto whatever the protective orders have
- 18 been. That's what I'm giving you.
- 19 MR. BELT: And I think that's reasonable.
- 20 THE COURT: And if that's inadequate, I will talk
- 21 to Dr. Ney on the 11th and see what we can find out. I don't
- 22 know how else to do this because when -- was it you who gave
- 23 me the ream of materials? I can't understand it. I thought
- 24 Voice Signal's suggestion was a perfectly valid one. It
- 25 turns out, even your expert lawyers don't understand it, so

Page 15 that's why we need the independent experts and Dr. Ney. 1 So I can't figure out whether there's enough there or whether this 2 3 is just a sneaky way of getting competitive information. 4 don't know, don't know. I don't know another way of doing This is expensive, this is time-consuming, and I want 5 it to be over so that we can either move on with this or end it. There is one more issue, your Honor, 8 MS. COLUMBIA: 9 if I may. 10 THE COURT: Yes. MS. COLUMBIA: On our side, for the independent 11 12 expert, Mr. Wooters is not available. 13 THE COURT: On the 8th? 14 MS. COLUMBIA: On the 8th. So we had retained a gentleman named Dr. Byrne, who's in Cambridge in the U.K. We 15 16 brought him over here before the last meeting with Dr. Ney and got him up to speed because, obviously, the only people 17 who really know the Voice Signal code are the people at 18 19 Voice Signal. So we spent time with Dr. Byrne, got him 20 familiar with the Voice Signal code, had him review the first-year code produced to Dr. Ney; brought him to the 21 22 March 24 meeting with Dr. Ney thinking he might be able to be 23 useful to answer Dr. Ney's questions. ScanSoft objected.

24 Rather than take time while Dr. Ney was here to fight that 25 out with him, we put Dr. Byrne in a conference room to read

Page 16 the paper for the day, and we did what we could do without 1 2 him. 3 THE COURT: Do you have a problem with Byrne? 4 MR. BELT: Yes, we do, your Honor. 5 THE COURT: Why? MR. BELT: He wrote part of the code. His name is 6 all over the first-year documents. He's a fact witness --7 THE COURT: He wrote part of their code? 8 9 MR. BELT: Their code he wrote. THE COURT: So what? 10 MR. BELT: Because it then becomes a fact 11 12 investigation, your Honor. And if he's going to talk about 13 the development of the code, we want to be able to 14 cross-examine him and --15 THE COURT: Excuse me. Overruled. You can have 16 him there. Just I want to get this over with, over with. 17 MR. BELT: Also he's a friend of Dr. Ney's. THE COURT: Overruled. He's not a friend. 18 knows him at a conference. They all know each other. 19 20 went through this last time. You each get an independent expert. They get to talk to Dr. Ney. 21 22 MR. BELT: He is joined at the hips -- I'm sorry, 23 your Honor, but he is joined at the hips with VST. His name 24 is on --

THE COURT: Does he have a financial interest?

- 1 MR. BELT: Yes. He's a paid consultant and has
- 2 been before this case.
- 3 THE COURT: Does he have a stock interest?
- 4 MS. COLUMBIA: No. He was a part-time consultant
- 5 in 2001 and early 2002. He has no financial interest
- 6 whatsoever in the company.
- 7 THE COURT: Overruled. So I want it to be over,
- 8 and I want to talk to Dr. Ney, and if he feels that he can't
- 9 do it, then I'm going to have to go back to your request.
- MR. BELT: Okay, because we do have the concern
- 11 about Dr. Byrne. Because he wrote part of the code, we would
- 12 ask that in any conversation that Dr. Byrne has with Dr. Ney,
- 13 that we are allowed to be there. In other words, that
- 14 section does not get to be --
- THE COURT: I leave that procedure up to Dr. Ney.
- 16 I need to get through the discovery. Everything is a pitched
- 17 battle. I get -- what adjective? -- overwrought motions from
- 18 you. I get a sequence of events that I found so troubling,
- 19 which basically does cast a cloud over what you're doing. It
- 20 does. It makes it seem like you're just trying to buy the
- 21 company and you're trying to whack them into shape. If you
- 22 want to buy it, fine, it's a good way to settle. I often
- 23 have patent cases that settle. But I don't want to be used
- 24 as part of it to depress the stock value.
- MR. BELT: I hear you, your Honor, and I will say,

- 1 this case was brought to enforce our intellectual property,
- 2 and in fact it was brought long before. I mean, it's just
- 3 not connected.
- 4 THE COURT: I believe that initially speaking.
- 5 MS. COLUMBIA: It was connected with the last
- 6 attempt to acquire the company.
- 7 THE COURT: Excuse me. I'm not sure I agree with
- 8 that. I understand why they're worried: Their top people go
- 9 over and work for your people. I understand why that raises
- 10 a concern if they think that there are similar products and
- 11 that it will be using source code. I am not making a finding
- 12 as far as the original filing. But I am, you know, like the
- 13 footprints in the snow, circumstantial evidence. When I
- 14 issue a ruling and I see a one-sided press release, and then
- I see Lehman Brothers go in, and then when I see that fails,
- 16 I see a suit in Texas all within two weeks, what inference
- 17 can I draw?
- MS. FLEMING: Well, all the while, your Honor, we
- 19 still don't have discovery in the case, and two years has
- 20 past. We still don't even know if we have the full source
- 21 code from Voice Signal.
- THE COURT: You know what? I've done what I can
- do. You both trust Dr. Ney, and so do I.
- Now, here's the big issue: I don't know what I can
- 25 accomplish on the phone on the 11th. I don't know enough

- 1 about -- I don't remember enough for starters about the case,
- 2 and I'm viewing that as a preliminary discussion with him.
- 3 And it may be that -- I don't know if there's another --
- 4 maybe you can get on the phone with Robert and maybe have a
- 5 more fulsome time with him in person.
- 6 MS. COLUMBIA: We can discuss that with him on
- 7 Monday.
- 8 THE COURT: What would be useful? Because I'm not
- 9 sure, verbally, he may just simply be asking you what format
- 10 it makes sense for him to report to me.
- MS. COLUMBIA: I will say, Judge, his English is as
- 12 good as mine, so I don't think you'll have any language
- 13 difficulties.
- 14 THE COURT: Well, that's actually very good to
- 15 know.
- 16 MS. COLUMBIA: Sometimes on the telephone, language
- 17 difficulties can be magnified, and I just wanted to let you
- 18 know that his English is perfect.
- 19 THE COURT: For me, the bigger issue is going to be
- 20 understanding it well enough, because you've both made the
- 21 point, and I think it's a hundred percent fair, that I make
- the decision and not he, and that he not be the one to be the
- 23 ultimate decision-maker. And so I just need to -- they're
- 24 making fun of me, but -- you know the President's recent
- 25 statement, "I'm the decider"? It's become well known in my

- 1 office.
- 2 So I will be the decider, but I need to understand
- 3 it, and I don't know that I can do that over the telephone.
- 4 And my thought would be some sort of a written report, and
- 5 then having him -- this is my thought -- come over and
- 6 explain it to me if I don't understand it.
- 7 MS. COLUMBIA: I'm sure that that can be arranged,
- 8 your Honor.
- 9 THE COURT: That's how I've thought about it. And
- 10 then all I do at that point is let it go forward.
- Now, I have another issue with you. I understand
- 12 that Judge Alexander disqualified Goodwin Procter.
- MS. FLEMING: Yes, and there were objections
- 14 filed.
- 15 THE COURT: So has she issued a written opinion
- 16 yet?
- 17 MR. BELT: I don't think I've seen that.
- MS. FLEMING: I don't think there was an opinion.
- 19 MR. BELT: She did say in her ruling that a
- 20 memorandum would be coming.
- 21 THE COURT: All right, and so you just want to be
- 22 able to -- will you be taking the oar on that, or will
- 23 Goodwin Procter?
- 24 MS. FLEMING: Goodwin Procter, your Honor.
- THE COURT: You know, actually, Judge Alexander and

- 1 I talked because we went back and forth on whether it was
- 2 more appropriately addressed as a report and recommendation
- 3 or whether it was a nondispositive motion. I thought that
- 4 was actually a little neat threshold issue, so -- I mean, I
- 5 actually didn't for sure know the answer to that, but it may
- 6 be nondispositive. And so some help when that memo comes out
- 7 might be on whether it's an abuse-of-discretion standard or
- 8 whether it's a de novo. She and I batted that around.
- 9 That's just one of those neat little things that I get
- 10 interested in on how I think about it. But I do know she had
- 11 an evidentiary hearing, right?
- MR. BELT: She did.
- 13 THE COURT: So at least with respect to the
- 14 findings of fact, that's probably going to be the record. So
- 15 then we can address that then.
- 16 MS. COLUMBIA: Your Honor, may I raise two
- 17 additional things before we go?
- 18 THE COURT: Yes.
- MS. COLUMBIA: One is, you said at the beginning
- 20 that you were going to require some sort of affidavit from
- 21 Bromberg & Sunstein with respect to communications with the
- O'Melveny firm. The piece of the time line that comes before
- 23 the press release is, of course, our production of the source
- 24 code. And I understand what your rulings are, but we are
- 25 concerned about the timing of the Texas case vis-a-vis our

- 1 production of the source code.
- 2 THE COURT: Oh. When do you think you can get to
- 3 them and just guarantee that nothing has been sent from the
- 4 company?
- 5 MS. FLEMING: We have a declaration from
- 6 Mr. Lawrence, who is the only person who has had access to
- 7 Voice Signal's source code and source code documentation. We
- 8 have that, and we're prepared to submit that today.
- 9 THE COURT: All right. Well, have I got it
- 10 already?
- 11 MS. FLEMING: It's right here. No. Mr. Lawrence
- 12 signed it this morning.
- 13 THE COURT: All right.
- MS. FLEMING: But I do want to say for the record,
- 15 your Honor, there is not a shred of evidence in that motion
- 16 that suggests that Bromberg & Sunstein has done anything
- 17 outside of the provisions of the protective order or the
- 18 neutral expert procedure, and to suggest otherwise, your
- 19 Honor, quite frankly, is a Rule 11 issue from my perspective.
- 20 THE COURT: As long as I've got verification here
- 21 that nothing's happened. And could you file an affidavit in
- the next week or so saying that you've sort of set up a
- 23 Chinese wall between yourself and O'Melveny?
- MS. FLEMING: Yes, we will do that, your Honor.
- MS. COLUMBIA: Your Honor, if I could, the second

```
Page 23
     issue just relates to Dr. Byrne.
 1
 2
               THE COURT: So have you seen a copy of this?
 3
               MS. COLUMBIA: I have not, your Honor.
 4
               MR. BELT: We just got their motion last night.
               THE COURT: I understand, I understand. Do you all
 5
     confer before you file these things so you can narrow --
 6
 7
               MR. BELT: We do on our side.
               THE COURT: Why don't you confer.
 8
               MS. COLUMBIA: We do, your Honor, and generally
 9
     speaking, it's not fruitful, in both directions. And as your
10
11
     Honor correctly observes, there are things that we work out
12
     that don't get to you, but on the things that do get to you,
     we're very rarely able to limit the disputes.
13
14
               THE COURT: Well, have you given them a copy of
     this now, this affidavit?
15
16
               MS. COLUMBIA: I was just handed it, your Honor.
               THE COURT: Well, why don't you sit down for a
17
     second and read it.
18
19
               (Pause.)
20
               MS. FLEMING: Your Honor, just in the interest of
     full disclosure, let me be clear that Mr. Lawrence's
21
22
     affidavit does say that we did give the source code to the
23
     independent expert yesterday, or the 3rd, whatever the 3rd
24
     was, and that was based on your ruling. He hasn't done
25
     anything --
```

Page 24 THE COURT: Did you know what was being challenged? 1 2 MS. FLEMING: Well, they didn't file until 4:00. 3 MS. COLUMBIA: I wrote counsel a letter on the 2nd 4 letting counsel know that we were moving to stay and 5 asking --THE COURT: Why didn't you tell me that while we 6 were having the discussion -- stop. Why didn't you tell me 7 that when I just did the order? We just had a 15-minute 8 9 discussion about it. I didn't know you had already turned it over to Goldhor and he hasn't done anything with it yet. 10 MS. FLEMING: He hasn't done anything with it. 11 12 THE COURT: I want an affidavit from him saying he 13 hasn't looked at it. 14 MS. FLEMING: That's exactly what we'll do. 15 THE COURT: Fine, all right. You know, you should have told me that rather than sitting on this thing. 16 to know these things. 17 18 MS. FLEMING: Your Honor, we're here today because they filed this motion last night. 19 20 THE COURT: I know, but we had this discussion 20 minutes ago. Twenty minutes ago we had this whole 21 22 discussion, and you didn't breathe a word that he already had 23 it. I didn't know that. 24 MS. FLEMING: Your Honor, he has not done anything with it. 25

Page 25 THE COURT: Maybe, but just tell me it. I just 1 want to know. I want to believe that you're telling me 2 3 everything. And so when we had this whole discussion about it 15, 20 minutes ago about how I only intended access to the 4 extent that Dr. Ney thought it was appropriate to have access, you should have said, "Oh, you know, by the way, 6 we've already relied on this. What should I do about it?" 7 MS. FLEMING: Well, your Honor, I would immediately 8 9 fix it. Obviously your order says --THE COURT: Tell me. 10 11 MS. FLEMING: Understood, your Honor. 12 THE COURT: Just tell me. 13 MS. FLEMING: Understood. I just want the Court to be clear that nothing has been done with it other than the 14 15 delivery of it. 16 THE COURT: Fine. I don't want him to look at it. I want him to return it. 17 MS. FLEMING: Understood. 18 THE COURT: And to the extent he has looked at it, 19 20 I want to know the extent to which he's looked at it. 21 MS. FLEMING: And we'll submit an affidavit to that 22 effect. 23 THE COURT: And I don't want him to tell a soul

24 about it if he's looked at it.

25 MS. FLEMING: Understood.

```
Page 26
               THE COURT: And I may disqualify him if he's looked
 1
     at it. So does anyone know if he's looked at it? Is it your
 2
 3
     impression he's not looked at it?
 4
               MS. FLEMING: It's my impression he has not looked
     at it, your Honor.
 5
 6
               THE COURT: Who's here right now? Who's worked
     with him?
 7
               MS. FLEMING: Mr. Lawrence is here.
 8
 9
               THE COURT: Mr. Lawrence, has he looked at it?
               MR. LAWRENCE: Not to my knowledge.
10
               THE COURT: All right, get on the phone as soon as
11
     you leave here, and I want an affidavit that he hasn't. Who
12
13
     are you, this woman back there?
14
               MR. LAWRENCE: That phone call has already been
15
     made. We told him, "Don't do anything."
16
               THE COURT: Perfect, okay.
17
               MS. FLEMING: Thank you, your Honor.
18
               THE CLERK: Court is in recess.
               (Adjourned, 10:35 a.m.)
19
20
21
22
23
24
25
```

```
1
                     CERTIFICATE
 2
 3
     UNITED STATES DISTRICT COURT )
 4
     DISTRICT OF MASSACHUSETTS
                                   ) ss.
     CITY OF BOSTON
                                   )
 5
 6
 7
               I, Lee A. Marzilli, Official Federal Court
 8
 9
     Reporter, do hereby certify that the foregoing transcript,
     Pages 1 through 26 inclusive, was recorded by me
10
     stenographically at the time and place aforesaid in Civil
11
12
     Action No. 04-10353-PBS, ScanSoft, Inc. Vs. Voice Signal
     Technologies, Inc., et al, and thereafter by me reduced to
13
     typewriting and is a true and accurate record of the
14
15
     proceedings.
               In witness whereof I have hereunto set my hand this
16
17
     5th day of May, 2006.
18
19
20
21
22
23
                   LEE A. MARZILLI, CRR
24
                   OFFICIAL FEDERAL COURT REPORTER
25
```